SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Ergen Three-Year 2017 DISH</u> <u>GRAT</u> | 2. Date of Eve Requiring Sta (Month/Day/Y 05/30/2017 | tement | 3. Issuer Name and Ticker or Tra DISH Network CORP | | | | | |
|--|--|--------------------|--|---|---|--|---|--|
| (Last) (First) (Middle) | | | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner | | (Mont | 5. If Amendment, Date of Original Filed (Month/Day/Year) | | |
| 9601 S. MERIDIAN BLVD. | | | Officer (give title below) | Other (spec below) | | i. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | |
| (Street) ENGLEWOOD CO 80112 | | | | | | , | More than One | |
| (City) (State) (Zip) | | | | | | | | |
| Table I - Non-Derivative Securities Beneficially Owned | | | | | | | | |
| 1. Title of Security (Instr. 4) | | | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownershi Form: Direct or Indirect (I (Instr. 5) | t (D) (Instr. 1 | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | |
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4 | | 4. Conversion or Exercise Price of | | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | |
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | or Indirect (I) (Instr. 5) | | |
| Class B Common Stock | (1) | (1) | Class A Common Stock | 40,000,000 | (1) | D ⁽²⁾ | | |

Explanation of Responses:

1. The Grantor Retained Annuity Trust ("GRAT") may elect to convert any or all of its Class B shares into an equal number of Class A shares at any time for no additional consideration.

2. On May 30, 2017, Charles W. Ergen established the Ergen Three-Year 2017 DISH GRAT and contributed 40,000,000 Class B shares, resulting in the transfer of a total of 40,000,000 Class B shares. **Remarks:**

/s/ Mrs. Cantey M. Ergen, Trustee of the Ergen Three-Year 2017 DISH GRAT, by Brandon Ehrhart her Attorney in Fact Date

06/01/2017

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.